



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 2770

DATE SCANNED

5/7/14

SCANNER NO.

2

SCAN OPERATOR

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2014 FEB 12 PM 1:39

SENSITIVE

February 12, 2014

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *[Signature]*
Staff Director

FROM: Patricia C. Orrock *NUI for PO*
Chief Compliance Officer

Debbie Chacona *NUI for DC*
Assistant Staff Director
Reports Analysis Division

BY: *KOR*
Kristin D. Roser/Sari Pickerall
Compliance Branch

SUBJECT: Reason To Believe Recommendation – 2013 December Monthly Report for the Administrative Fine Program

Attached is a list of political committees and their treasurers who failed to file the 2013 December Monthly Report in accordance with 2 U.S.C. § 434(a). The December Monthly Report was due on December 20, 2013.

The committees listed in the attached RTB Circulation Report either filed the report no more than thirty (30) days after the due date (considered a late filed report), or filed the report more than thirty (30) days after the due date (considered a non-filed report). In accordance with the schedule of civil money penalties for reports at 11 CFR 111.43, these committees should be assessed the civil money penalties highlighted on the attached circulation report.

Recommendation

1. Find reason to believe that the political committees and their treasurers listed on the RTB Circulation Report violated 2 U.S.C. § 434(a) and make a preliminary determination that the civil money penalties would be the amounts indicated on the RTB Circulation Report.
2. Send the appropriate letters.

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Federal Election Commission
Reason to Believe Circulation Report
2013 DECEMBER MONTHLY Not Election Sensitive 12/20/2013 P_UNAUTH

AF#	Committee ID	Committee Name	Candidate Name	Treasurer	Threshold	PV	Receipt Date	Days Late	LOA	RTB Penalty
2770	C00386904	FAEGREBD PAC		FREDERICK GARVER	\$169,822	0	12/26/2013	6	\$16,552	\$140
2771	C00277335	FAIRFAX COUNTY REPUBLICAN COMMITTEE (FEDERAL)		ALLAN W. RUPPAR	\$253,102	0	1/31/2014	Not Filed	\$14,564	\$550
2772	C00261891	REPUBLICAN CENTRAL COMMITTEE OF LOS ANGELES COUNTY (FED. ACCT.)		ALBERT GERSH	\$206,960	1	2/1/2014	Not Filed	\$6,426	\$412

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
)
Reason To Believe Recommendation –)
2013 December Monthly Report for the AF)
Program:)
FAEGREBD PAC, and GARVER,) AF# 2770
FREDERICK as treasurer;)
FAIRFAX COUNTY REPUBLICAN) AF# 2771
COMMITTEE (FEDERAL), and RUPPAR,)
ALLAN W. MR. as treasurer;)
REPUBLICAN CENTRAL COMMITTEE) AF# 2772
OF LOS ANGELES COUNTY (FED.)
ACCT.), and GERSH, ALBERT as)
treasurer;)

CERTIFICATION

I, Shelley E. Garr, Deputy Secretary of the Federal Election Commission, do hereby certify that on February 13, 2014 the Commission took the following actions on the Reason To Believe Recommendation – 2013 December Monthly Report for the AF Program as recommended in the Reports Analysis Division's Memorandum dated February 12, 2014, on the following committees:

AF#2770 Decided by a vote of 6-0 to: (1) find reason to believe that FAEGREBD PAC, and GARVER, FREDERICK as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

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AF#2771 Decided by a vote of 6-0 to: (1) find reason to believe that FAIRFAX COUNTY REPUBLICAN COMMITTEE (FEDERAL), and RUPPAR, ALLAN W. MR. as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2772 Decided by a vote of 6-0 to: (1) find reason to believe that REPUBLICAN CENTRAL COMMITTEE OF LOS ANGELES COUNTY (FED. ACCT.), and GERSH, ALBERT as treasurer violated 2 U.S.C. 434(a) and make a preliminary determination that the civil money penalty would be the amount indicated on the report; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

February 14, 2014
Date

Shelley E. Garr
Shelley E. Garr
Deputy Secretary of the Commission

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

February 14, 2014

Frederick Garver, in official capacity as Treasurer
FaegreBD PAC
300 N. Meridian Street, Suite 2700
Indianapolis, IN 46204

C00386904
AF#: 2770

Dear Mr. Garver:

The Federal Election Campaign Act of 1971, as amended ("the Act"), requires that your committee file a December Monthly Report of Receipts and Disbursements covering the period November 1, 2013 through November 30, 2013. This report shall be filed no later than December 20, 2013. 2 U.S.C. § 434(a). Records at the Federal Election Commission ("FEC") indicate that this report was filed on December 26, 2013, six (6) days late.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 2 U.S.C. § 434(a). 2 U.S.C. § 437g(a)(4). On February 13, 2014, the FEC found that there is reason to believe ("RTB") that FaegreBD PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) by failing to file timely this report on or before December 20, 2013. Based on the FEC's schedules of civil money penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at the RTB stage is \$140. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 1. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. See <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. Your payment of \$140 is due within forty (40) days of the finding, or by March 25, 2014, and is based on these factors:

Election Sensitivity of Report: Not Election Sensitive
Level of Activity: \$16,552
Number of Days Late: 6
Number of Previous Civil Money Penalties Assessed: 0

At this juncture, the following courses of action are available to you:

1. If You Choose to Challenge the RTB Finding and/or Civil Money Penalty

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response, including the AF# found at the top of page 1 under your committee's identification number, to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must be received within forty (40) days of the

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Commission's RTB finding, or March 25, 2014. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The FEC will only consider challenges that are based on at least one of three grounds: (1) a factual error in the RTB finding; (2) miscalculation of the calculated civil money penalty by the FEC; or (3) your demonstrated use of best efforts to file in a timely manner when prevented from doing so by reasonably unforeseen circumstances that were beyond your control. 11 CFR § 111.35(b). In order for a challenge to be considered on the basis of best efforts, you must have filed the required report no later than 24 hours after the end of these reasonably unforeseen circumstances. *Id.* Examples of circumstances that will be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) a failure of Commission computers or Commission-provided software despite your seeking technical assistance from Commission personnel and resources; (2) a widespread disruption of information transmissions over the Internet that is not caused by a failure of the Commission's or your computer systems or Internet service provider; and (3) severe weather or other disaster-related incident. 11 CFR § 111.35(c). Examples of circumstances that will not be considered reasonably unforeseen and beyond your control include, but are not limited to: (1) negligence; (2) delays caused by vendors or contractors; (3) treasurer and staff illness, inexperience or unavailability; (4) committee computer, software, or Internet service provider failures; (5) failure to know filing dates; and (6) failure to use filing software properly. 11 CFR § 111.35(d).

The "failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver" of your right to present such argument in a petition to the U.S. district court under 2 U.S.C. § 437g. 11 CFR § 111.38.

If you intend to be represented by counsel, please advise the Office of Administrative Review. You should provide, in writing, the name, address and telephone number of your counsel and authorize counsel to receive notifications and communications relating to this challenge and imposition of the calculated civil money penalty.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

If you do not pay the calculated civil money penalty and do not submit a written response, the FEC will assume that the preceding factual allegations are true and make a final determination that FaegreBD PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) and assess a civil money penalty.

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

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If you should decide to pay the calculated civil money penalty, send the enclosed remittance form, along with your payment, to the FEC at the address on page 4. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

5. Settlement Offers

If you make a payment in an amount less than the calculated civil money penalty as an offer to settle or compromise a debt owed to the Commission, the offer is herewith rejected despite any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 2 U.S.C. § 437g(a)(2). It will remain confidential in accordance with 2 U.S.C. § 437g(a)(4)(B) and 437g(a)(12)(A) until it is placed on the public record in accordance with 11 CFR § 111.42, unless you notify the FEC in writing that you wish the matter to be made public.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Sari Pickerall in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Lee E. Goodman
Chairman

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ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.43, the amount of your civil money penalty calculated at RTB is \$140 for the 2013 December Monthly Report.

Please mail this remittance with a check or money order made payable to the Federal Election Commission to the following address:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your remittance and payment by courier or overnight delivery, please use this address:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

The remittance and your payment are due by March 25, 2014. Upon receipt of your remittance and payment, the FEC will send you a final determination letter.

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: FaegreBD PAC

FEC ID#: C00386904

AF#: 2770

PAYMENT DUE DATE: March 25, 2014

PAYMENT AMOUNT DUE: \$140

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FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION
COMMISSION
SECRETARIAT

2014 APR -3 PM 3: 57

April 3, 2014

MEMORANDUM

CONFIDENTIAL

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Kristin D. Roser/Sari Pickerall *KOR* *JP*
Reports Analysis Division
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for the
2013 December Monthly Report

Attached is a list of political committees and their treasurers against which the Commission has found reason to believe (RTB) and assessed proposed civil money penalties calculated at RTB for failure to file or failure to timely file the 2013 December Monthly Report. The committees have paid the civil money penalties requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondents that have paid the civil money penalties.

RAD Recommendation

- (1) Make a final determination that the political committees and their treasurers listed on the attached report violated 2 U.S.C. § 434(a) and assess the final civil money penalties so indicated.
- (2) Send the appropriate letters.

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Federal Election Commission
FD Circulation Report Fine Paid
2013 DECEMBER MONTHLY Not Election Sensitive 12/20/2013 P_UNAUTH

AF#	Committee Name	Candidate Name	Committee ID	Treasurer	Receipt Date	Days Late	LOA	PV	RTB Date	RTB Penalty	Final Money Penalty	Date Paid	Amount Paid
2770	FAEGREBD PAC		C00386904	FREDERICK GARVER	12/26/2013	6	\$17,543	0	02/13/2014	\$140	\$140	03/11/2014	\$140
2771	FAIRFAX COUNTY REPUBLICAN COMMITTEE (FEDERAL)		C00277335	ALLAN W. RUPPAR		Not Filed	\$14,564	0	02/13/2014	\$550	\$550	03/18/2014	\$550
2772	REPUBLICAN CENTRAL COMMITTEE OF LOS ANGELES COUNTY (FED. ACCT.)		C00261891	ALBERT GERSH		Not Filed	\$6,426	1	02/13/2014	\$412	\$412	03/18/2014	\$412

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)	
)	
Administrative Fine Program – Final)	
Determination Recommendation for the)	
2013 December Monthly Report:)	
FAEGREBD PAC, and GARVER,)	AF# 2770
FREDERICK as treasurer;)	
FAIRFAX COUNTY REPUBLICAN)	AF# 2771
COMMITTEE (FEDERAL), and RUPPAR,)	
ALLAN W. MR. as treasurer;)	
REPUBLICAN CENTRAL COMMITTEE)	AF# 2772
OF LOS ANGELES COUNTY (FED.)	
ACCT.), and GERSH, ALBERT as)	
treasurer;)	

CERTIFICATION

I, Shawn Woodhead Werth, Secretary and Clerk of the Federal Election Commission, do hereby certify that on April 07, 2014 the Commission took the following actions on the Administrative Fine Program – Final Determination Recommendation for the 2013 December Monthly Report as recommended in the Reports Analysis Division's Memorandum dated April 03, 2014, on the following committees:

AF#2770 Decided by a vote of 6-0 to: (1) make a final determination that FAEGREBD PAC, and GARVER, FREDERICK as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2771 Decided by a vote of 6-0 to: (1) make a final determination that FAIRFAX COUNTY REPUBLICAN COMMITTEE (FEDERAL), and RUPPAR, ALLAN W. MR. as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

AF#2772 Decided by a vote of 6-0 to: (1) make a final determination that REPUBLICAN CENTRAL COMMITTEE OF LOS ANGELES COUNTY (FED. ACCT.), and GERSH, ALBERT as treasurer, violated 2 U.S.C. 434(a) and assess the final civil money penalty so indicated; (2) send the appropriate letter. Commissioners Goodman, Hunter, Petersen, Ravel, Walther, and Weintraub voted affirmatively for the decision.

Attest:

April 7, 2014
Date

Shawn Woodhead Werth
Shawn Woodhead Werth
Secretary and Clerk of the Commission

14092694508



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

April 8, 2014

Frederick Garver, in official capacity as Treasurer
FaegreBD PAC
300 N. Meridian Street
Indianapolis, IN 46204

C00386904

AF#: 2770

Dear Mr. Garver:

On February 13, 2014, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that FaegreBD PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a) for filing late or failing to file the 2013 December Monthly Report. By letter dated February 14, 2014, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$140 in accordance with the schedule of penalties at 11 CFR § 111.43.

On March 11, 2014, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on April 7, 2014 that FaegreBD PAC and you, in your official capacity as treasurer, violated 2 U.S.C. § 434(a), assessed a civil money penalty in the amount of \$140 in accordance with 11 CFR § 111.43, and voted to close the file.

The confidentiality provisions at 2 U.S.C. § 437g(a)(12) no longer apply and this matter is now public. The file will be made a part of the public record pursuant to 11 CFR § 111.42(b). Although the file must be placed on the public record within thirty (30) days from the date of the Commission's notification, this could occur at anytime following certification of the Commission's vote.

If you have any questions regarding this matter, please contact Sari Pickerall on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

A handwritten signature in black ink, appearing to read "Lee E. Goodman".

Lee E. Goodman
Chairman

14092694509

FOR: FaegreBD PAC

FEC ID#: C00386904

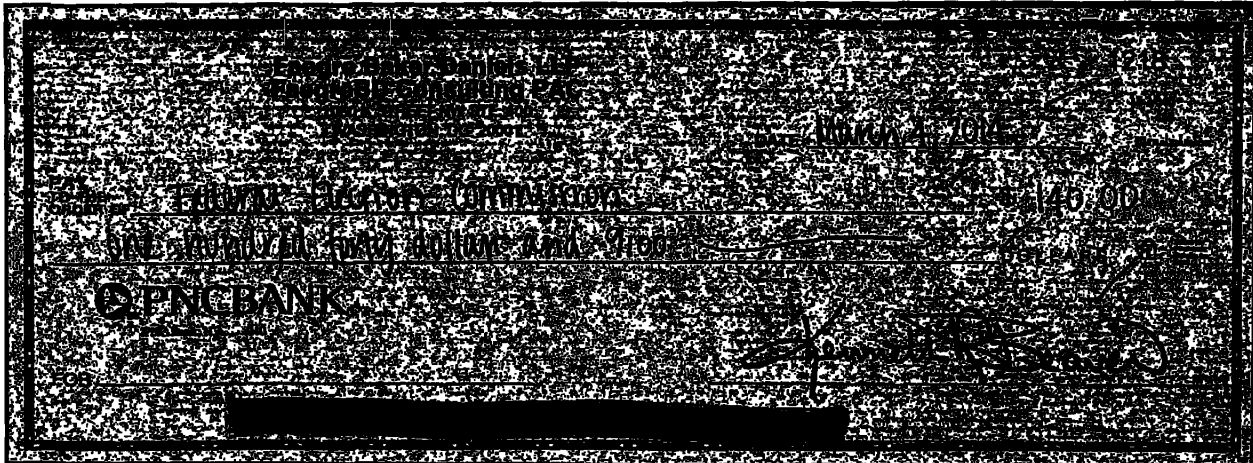
AF#: 2770

PAYMENT DUE DATE: March 25, 2014

PAYMENT AMOUNT DUE: \$140

FEDERAL ELECTION COMMISSION

Lockbox: GLX-979058 Ledger Date 03/10/2014



Batch	Item	TID	Batch Total	Amount
1	1	Y-3060058	\$140.00	\$140.00

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usbank

St. Louis GA Lockbox
(314) 425-1818



FEDERAL ELECTION COMMISSION
Washington DC 20463

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 2770

DATE SCANNED

5/7/14

SCANNER NO.

2

SCAN OPERATOR

EJS

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